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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

41

Application Number

09/393,752

Filing Date

September 10, 1999

First Named Inventor

Dantu, et al.

Art Unit

2697

Examiner Name

Lee T. Khuong

Attorney Docket Number

135544

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Alcatel

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Jessica W. Smith

Date

February 24, 2005

Reg. No.

39,884

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Melanie Murdock

Date

February 25, 2005

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Serial No.: 09/393,752
Examiner: Lee T. Khuong

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant(s): Dantu, et al. Docket: 135544
Serial No.: 09/393,752 Art Unit: 2697
Filed: September 10, 1999 Examiner: Lee T. Khuong
Title: System and Method for Packet Level Restoration of IP Traffic Using Overhead Signaling in a Fiber Optical Ring Network

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents
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Certificate Under 37 C.F.R. §1.8(a)

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Melanie Murdock

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- ☐ 1) within three months of the filing date
- ☐ 2) within three months of the date of entry of the national phase
- ☐ 3) before the mailing date of a first Office Action on the merits
- ☒ 4) before the mailing date of either a Final Action or a Notice of Allowance and is accompanied by
 - ☐ a) the fee of \$_____ set forth in 37 CFR 1.17(p).
 - ☒ b) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- ☒ 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

Serial No.: 09/393,752
Examiner: Lee T. Khuong


- ☐ 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by
- ☐ 1) an English language version or translation of the foreign patent office report citing the documents.
- ☐ 2) the following remarks.
- ☐ 3) the discussion of these documents in the specification.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

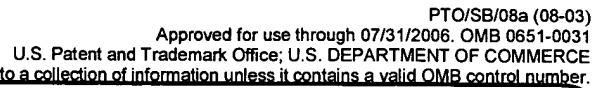
ALCATEL



Jessica W. Smith
Reg. No. 39,884

Dated: 2/24, 2005

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Fax: (972) 477-9328



Substitute for form 1449A/PTO

Complete if Known

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	09/393,752
Filing Date	September 10, 1999
First Named Inventor	Dantu, et al.
Art Unit	2697
Examiner Name	Lee T. Khuong
Attorney Docket Number	135544

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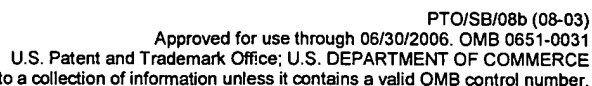
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	A	EP 0 721 275 A2	07/10/1996	Nippon Electric		
	B	EP 0 827 357 A2	03/04/1998	NEC Corporation		
	C	EP 0 924 952 A2	06/23/1999	Northern Telecom		

Examiner Signature		Date Considered
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Sheet

2

of

2

Application Number

Complete if Known

09/393,752

Filing Date

September 10, 1999

First Named Inventor

Dantu, et al.

Art Unit

2697

Examiner Name

Lee T. Khuong

Attorney Docket Number

135544

NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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